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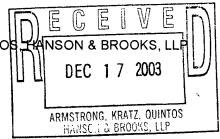
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ITED STATES DEPARTMENT OF COMMERCE oited States Patent and Trademark Office: ddress COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vigoria 22313-1450 www.uspin.gov

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FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 533 3 10/667,600 09/23/2003 3742 031177 CONFIRMATION NO. 9757

23850 ARMSTRONG, KRATZ, QUINTO 1725 K STREET, NW **SUITE 1000** WASHINGTON, DC 20006



FILING RECEIPT

Date Mailed: 12/16/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Higashiosaka-Shi Isoji Yao, Osaka, JAPAN;

Assignment For Published Patent Application

NAOMOTO INDUSTRY CO., LTD., Osaka-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JP 2003-315715

09/08/03

If Required, Foreign Filing License Granted: 12/12/2003

Projected Publication Date: 03/24/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Hair iron device

219

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UTILITY PATENT TRADE

(Only for new non-provisional applications under 37 CFR 1.53(b))

First Named Inventor or Application Identifier

Check Box, if applicable [] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS For:

HAIR IRON DEVICE

ADDRESS TO:

Isoji YAO

Attorney Docket No.

Commissioner for Patents

MAIL STOP BOX PATENT APPLICATIONS

Total Pages

P.O. Box 1450

031177

Alexandria, VA. 22313-1450

- 1. [XX] Fee Transmittal Form (Incorporated within this form)
 (Submit an original and a duplicate for fee processing)
- 2. [XX] Specification

Total Pages [18]

3. [XX] Drawing(s) (35 USC 113)

Total Sheets [8]

4. [XX] Oath or Declaration

Total Pages [4]

- a. [XX] Newly executed (original)
- b. [] Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. [] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [] Microfiche Computer Program (Appendix)
- 7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. [XX] Assignment Papers (cover sheet and document(s)) to: <u>NAOMOTO INDUSTRY CO., LTD., Osaka-shi, Japan</u>.

 Please publish the assignee data with the application.
- 9. [] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Filing Fee

TOTAL

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

Attorney Docket No.	031177			
First Named Inventor or Application Identifier				
Isoji YAO				
DACE 2 OF 2				

\$1066.00

\$ 533.00

\$ 40.00

\$ 573.00

\$40.00

	<u> </u>	PAGE 2 OF 3					
10. [] English translation Document (if applicable)							
11. [XX] Information Disclosure Statement [1] Copy of IDS Citations w/PTO-1449 and 1 reference.							
12. [] Preliminary Amendment							
13. [XX] Return Receipt Postcard (MPEP 503)							
14. [XX] Small Entity Status is claimed.							
15. [] Claim for Convention Priority [] Certified copy of Priority Document a. Priority of application no filed on is claimed under 35 USC 119.							
16. [] Other							
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: [] Continuation [] Division [] Continuation-in-part (CIP) of prior application no							
FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 750.00			
Total Claims	22 - 20	2	x \$18.00	\$ 36.00			
Independent Claims	3 - 3		x \$84.00				
Multiple Dependent Claims	\$280.00	\$ 280.00					

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

031177

First Named Inventor or Application Identifier

Isoji YAO

PAGE 3 OF 3

[XX] A check in the amount of \$573.00 is enclosed to cover the filing fee of \$375.00, the fee of \$18.00 for extra claims, the fee of \$140.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

[] Please charge our Deposit Account No. 01-2340 in the total amount of ______ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340.

18. CORRESPONDENCE ADDRESS:

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PATENT TRADEMARK OFFICE

Typed or Printed Name

William L. Brooks

Reg. No. 34,129

Signature

Willin 2 Brook

Date:

September 23, 2003

WLB:jaz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of: YAO, Isoji

Group Art Unit: 3742

Attention: Applications Division

Serial Number: 10/667,600 Filed: **September 23, 2003**

P.T.O. Confirmation No.: 9757

Date: January 20, 2004

For: HAIR IRON DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the TOT CLMS was incorrect; the inventor's city was incorrect and the Foreign Applications information was omitted. Copies of the Utility Patent Application Transmittal and the Declaration for U.S. Patent Application are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

William L. Brooks Attorney for Applicant Reg. No. 34,129

WLB/alw Atty. Docket No. **031177** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

23850
PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt

Utility Patent Application Transmittal Declaration for U.S. Patent Application